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11/02/2007

**WOLF, BLOCK, SHORR AND SOLIS-COHEN LLP**  
**250 PARK AVENUE**  
**10TH FLOOR**  
**NEW YORK, NY 10177**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/536.479	10/03/2005	Michael R Treat	COL221.228588	6897

TITLE OF INVENTION: HARMONIC PROPULSION AND HARMONIC CONTROLLER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	02/04/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
ROSENAU, DEREK JOHN	2834	310-328000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member registered attorney or agent) and the names of 2 registered patent attorneys or agents. If no 1 listed, no name will be printed.

**Wolf, Block, Schorr & Solis-Cohen LLP**  
**William H. Dippert**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**THE TRUSTEES OF COLUMBIA UNIVERSITY**  
**IN THE CITY OF NEW YORK**

**NEW YORK, NEW YORK, US**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies **3**

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☐ A check is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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